MTCC

IMTC MONEY TRANSFER COMPLIANCE CERTIFICATION COURSE

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9 am to 5:30 pm

COMPLIANCE CERTIFICATION COURSE

08:00 09:00	Attendee Registration
09:00 09:15	**WELCOME - INTRODUCTION**
09:15 10:15	**Session 1- Regulatory and Legal Framework** Understand the framework and application of laws and regulations and the compliance environment for Money Transmitters in the US. Evolution of AML/CFT Efforts, Regulatory Entities involved, the CFPB, Overview of US AML Laws, Virtual Currencies
10:15 11:00	**Session 2: Designing a Comprehensive AML/CFT Program for Money Transmitters** Components of a comprehensive risk-based AML/CFT compliance program, and the requirements and the responsibilities of the compliance officer.
11:00 11:30	Refreshment Break
11:30 12:15	**Session 3: Risk Assessment** Laying the foundation for your compliance program by identifying high-risk products and services, customers, and geographies, and the implementation of mitigating controls.
12:15 13:00	**Session 4: Customer Identification & Enhanced Due Diligence** Essentials for effective customer due diligence and enhanced due diligence for high-risk customers and transactions.
13:00 14:00	Lunch
14:00 14:30	**Session 5: Agent Oversight & Foreign Correspondent Due Diligence** Requirements and practical application for managing foreign correspondent and Agent relationships.
14:30 15:00	**Session 6: Best Practices on Transaction Monitoring** Essentials of transaction monitoring, suspicious activity detection and reporting.
15:00 15:30	Refreshment Break
15:30 16:00	**Session 7: Law Enforcement Requests, Information Sharing and Recent Actions** Understanding the requirements for and tracking of government and other requests.
16:00 16:30	**Session 8: Regulatory Exams & Independent Reviews** Essentials and expectations for pre-exam preparation and post-exam actions.
16:30 17:00	**Session 9: The Challenges of Online, Mobile & Virtual Currencies KYC** Rethinking KYC and the emphasis on Fraud Prevention, Verifications, Geo-location and other tools,
17:00 17:30	**Questions and Answers - Exam Preparation** Preparing for the online examination following completion of this Course..
17:30 19:30	Welcome Reception

YOUR INSTRUCTORS

Connie Fenchel: AML Experts

Connie Fenchel is President of AML Experts, Inc., an independent consulting firm specializing in AML, BSA and customs matters. Her expertise includes independent reviews and investigations, threat/risk assessments, domestic and international training, expert testimony and AML program development. Her clients include banks and MSBs throughout the US. She worked in the Off. of Investigations of the U.S. Customs Service (USCS), and she served as the Deputy Dir. of FinCEN from 1999-2003.
Ms. Fenchel holds a MS in Management from Nal Louis Univ. and a BS in Criminal Justice from the U. of S. Florida.

Laura Goldzung: AML Audit Services

Laura Goldzung is President and Founder of AML Audit Services, LLC, an anti-money laundering and fraud consultancy specializing in independent testing and compliance consulting services to financial and nonbank financial institutions. Additional expertise includes domestic and international training, design and development of BSA/AML compliance programs, risk assessment and analysis, remediation and corrective action programs, expert witness testimony, and CFPB compliance benchmark assessments. In her more than 25 year career in the financial services industry, she has worked in a variety of executive roles.

Note: Certificates will be awarded by IMTC to all course participants that pass the test that will be administered online after the course is taken.

The Certificate will be emailed to you when you pass. You have several chances to re-take the test. Simultaneous translation (en/es) available only in IMTC WORLD.